



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Dr. Gautam Vinod Daftery, et al.

Application No.:

10/748.094

Filed:

December 31, 2003

Examiner:

Unassigned

Group Art Unit:

Unassigned

For: NON-PEGYLATED LONG-CIRCULATING LIPOSOMES

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b. It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

Since this application was filed after June 30, 2003, copies of U.S. references are not included.

Respectfully submitted,

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## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known			
Application Number	10/748,094		
Filing Date	December 31, 2003		
First Named Inventor	Dr. Gautam Vinod Daftery, et al.		
Group Art Unit	Unassigned		
Examiner Name	Unassigned		
Attorney Docket Number	12879/2		

		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
Examiner Cite No.1		Number - Kind Code <sup>2</sup> (if known)		Cited Document		
		US- 4,501,728	Feb. 26, 1995	Geho, et al.		
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FOREIGN PATENT DOCUMENTS					
	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	T <sup>6</sup>
Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	
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	Cite No.¹	Cite Foreign Patent Document	Cite Publication Date	Cite No.1  Cite No.1	Cite No.    Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)   Name of Patentee or Applicant of Cited Passages or Relevant Passages or

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Name	Unassigned		
Attorney Docket Number	12870/2	7	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		Kenneth B. GORDON, "Cancer", <u>Hand-Foot Syndrome Associated with Liposome-Encapsulated Doxorubicin</u> <u>Therapy</u> , vol. 75,no. 8, pgs. 2169-2173, (1995)		
,		Ruey-Long Hong, et al., "Clinical Cancer Research", <u>Direct Comparison of Liposomal Doxorubicin with or without Polyethylene Glycol Coating in C-26 Tumor-bearing Mice: Is Surface Coating with Polyethylene Glycol Beneficial, vol. 5, pgs. 3645-3652, (1999)</u>		
		Alberto A. Gabizon, M.D., Ph.D., "Cancer Investigation" Pegylated Liposomal Doxorubicin: Metamorphosis of an Old Drug into a New Form of Chemotherapy, vol. 19, no. 4, pgs. 424-436, (2001)		

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